

ASIF

Newsletter

Quarterly Issue, May 2012

The Newsletter of the Asian Securities and Investments Federation

Secretariat News

Mr. Sundae Kim of KCIAA was elected as ACIIA Council Member representing ASIF

Since Mr. Sanjay Ramchandani, Vice President at CPMR India, who has been the ACIIA Council Member representing ASIF for many years, informed us his resignation from CPMR, a Special General Meeting in writing was held on Tuesday 15 May 2012 for the purpose of nominating the successor. As the result Mr. Sundae Kim, Vice Chairman of Korea Certified Investment Analysts Association (KCIAA) was duly approved to be nominated as the ACIIA Council Member representing ASIF. Subsequently, at the ACIIA Council Meeting in writing dated June 05, 2012, Mr. Kim was duly elected as the ACIIA Council Member.



Mr. Sundae Kim,
Vice Chairman KCIAA

Mr. Sanjay Ramchandani leaves CPMR

As mentioned earlier, Mr. Sanjay Ramchandani, Vice President of CPMR India, is leaving CPMR. As many of ASIF members know, he has been contributing to ASIF as Chair of Communications Committee, Executive Committee Member, and ACIIA Council Member representing ASIF for many years. Especially, as the Chair of Communications Committee, under his leadership ASIF was able to continue to publish the ASIF Newsletter, E-Journal and Yearbook for years. On behalf of ASIF, we would like to express our special gratitude and best wishes in his new career in the future.



Mr. Sanjay Ramchandani, former ASIF Executive Committee Member, former Chair of ASIF Communications Committee.

Mr. Ramchandani's successor as contact person for ASIF matters at CPMR is Dr. P Venkateshwarlu. We look forward to working with him in the future.

AUSTRALIA

PR CHINA

CHINESE TAIPEI

HONG KONG

INDIA

JAPAN

KOREA

MALAYSIA

NEW ZEALAND

ASIF AGM on Sunday 14 October, and ASIF/SAAJ Conference on Monday 15 October to be held in Tokyo

As it was previously reported, ASIF AGM will be held on Sunday 14 October at Gakushi Kaikan in Central Tokyo. The first "ACIIA/CIIA, Asia Forum meeting" will also be held on the same day in conjunction with the AGM. It is expected that there will be active discussions in order to further promote the CIIA designations in the Asian region.

ASIF/SAAJ Conference will be held on Monday 15 October at Keidanren Kaikan as one of the celebrations of the 50th anniversary of SAAJ. We would like to welcome as many audiences as possible not only from the Asian regions, but also from all over the world such as Europe and Americas etc. On Tuesday 16 October, there will be a one-day bus trip of City of Tokyo.



Tokyo Skytree, the tallest tower in the world

Member's Regional News

HKSI Hong Kong

Embracing the Future - HKSI Enhances Its Membership to Recruit Younger Members from a Wider Pool

Since its establishment, the Hong Kong Securities Institute (HKSI) has been growing from strength to strength with the support from institutions, practitioners and regulators in the finance industry. Over 70% of its members hold key management positions in their firms and some members are shifting their professional needs for networking. In response to this, the Institute has rolled out a number of new initiatives, including interest group gatherings and member experience sharing.

The Institute also desires to recruit younger practitioners and professionals and has re-positioned its Associate and Affiliate Memberships to attract more members from a wider pool.

Effective from 30 January 2012, university graduates who have no industry experience can apply for Associate Membership (AHKSI) and progress to Ordinary Member (MHKSI) after attaining 3 years of industry experience. Full time students studying at universities or recognised institutions can apply for Affiliate



Huge lantern in Asakusa

Calendar 2012

26-28 June 2012

ACIIA Council Meeting, AGM

Madrid
Spain

14-16 October 2012

ASIFAGM Ex.Comm Meeting
SAAS/ASIF 2012 Conference
ACIIA/CIIA, Asia Forum

Tokyo
Japan

Membership (HKSI Aff) and progress to Associate Member when they have attained the required qualification.

“Nurturing young talent is essential to the industry’s future. In the past few years, we have reached out to potential entrants in the finance industry through scholarship programmes and careers talks. With the new Associate and Affiliate Memberships in place, we are able to groom more young members and help them develop their careers in the finance industry,” says HKSI Chairman Mr Anthony Muh.

To serve these young members, the Institute plans to organise monthly member gatherings for experienced members to share their industry knowledge and career advice with them.

Apart from the monthly member gatherings, Associate or Affiliate Members can also attend selected member-only activities, professional training and events with a discount, and enjoy free access to HKSI e-seminars and bookstore discounts. Please visit HKSI website (www.hksi.org/membership) for detailed benefits and application procedure.

“The provision of Associate and Affiliate Memberships is to ensure a progressive membership structure relevant to young people who may not have sufficient industry experience,” explains HKSI Chief Executive Mr SF Wong.

“Fresh graduates, or new entrants to the industry who have attained the HKSI Practising Certificate, can join our ranks as Associate Member and enjoy our various membership services,” adds Mr Wong. “They can apply for progression to Ordinary Member after they have attained the required 3 years of industry experience.”

According to the latest information, the Institute’s 2,000 Ordinary and Fellow Members come mainly from the areas of securities, corporate finance, fund management and wealth management. Many of them are experienced professionals who contribute to the industry through their participation in the Institute. Their successful stories can be found in the “Member Profile” section in the HKSI website.

HKSI Young Professional Seminar

To recruit members from the young segment,

the HKSI has launched a new series of “**Young Professional Seminar**”. Prominent speakers are invited to share their industry knowledge and experience for young members & practitioners. For this year, a total of 5 to 6 seminars will be scheduled with topics and speakers coming from all sectors including banking, brokerage, wealth management, and compliance.

The first seminar “To Excel - Through Tough Jobs in China” was held on 19 April at HKEx Auditorium with former President of the China Minsheng Banking Corporation and Former CEO of HSBC (China) Mr Eddie Wang as guest speaker. A total of 110 members and young practitioners attended the seminar.

The second seminar of this series will be held on 5 June with Mr Paul Day as guest speaker. He will talk about the valuable lessons learnt from his 25-year career at Morgan Stanley. Seasoned private banker and HKSI Board Member, Ms Anna Wong, also agrees to speak on “Private Wealth Management and Challenges for Young Wealth Managers” for this series in September.



HKSI Young Professional Seminar

SAAJ Japan



SAAJ’s Special Logo indicating 50th Anniversary

AUSTRALIA

PR CHINA

CHINESE TAIPEI

HONG KONG

INDIA

JAPAN

KOREA

MALAYSIA

NEW ZEALAND

THAILAND



Keidannren-Kaikan, Venue of
SAAJ/ASIF 2012 Conference

SAAJ 50th Anniversary Celebration

The Securities Analysts Association of Japan (SAAJ) celebrates its 50th anniversary in 2012 and several special events/projects are planned during the upcoming months. One of the highlights is the SAAJ Annual Conference which will be held in conjunction with the ASIF Conference in Tokyo on 15 October 2012 – exactly the same date as the establishment of SAAJ 50 years ago. On the day before the conference, 14 October, the ASIF annual general meeting and attendant committee meetings are scheduled. Program details are expected to be announced in July and SAAJ looks forward to welcoming as many participants as possible from not only ASIF societies but also the ACIIA and EFFAS member societies. There will be no registration fee for participation by the members of ASIF / ACIIA/ EFFAS member societies and English-Japanese simultaneous interpretations will be provided.

Other celebratory projects before the Conference include publication of a 50-year history detailing the development of investment professionals in Japan and the SAAJ; presentation of “50th Anniversary Prizes” for distinguished articles; and compilation of a new version of the dictionary comprehensively covering financial and investment terms for investment professionals.

One Year since the Great East Japan Earthquake

One year has passed since the devastation brought by the Great East Japan Earthquake and subsequent tsunami on 11 March 2011. With grateful appreciation for support from all over the world, the devastated area is on its way to recovery. SAAJ has received kind donations from overseas and domestically to help affected areas amounting to JPY24.8 million (approximately US\$300,000), which includes those from various financial analyst associations and federations overseas and also those from individual CMA/CIIA members as well as SAAJ itself and its board members/staff. These funds have been distributed to the local governments of the most seriously affected prefectures and also the Japan Red Cross Society. SAAJ would like to express its most sincere gratitude to all financial analyst associations/federations and individuals for their donations.

In response to the earthquake, SAAJ published *Proposals for Post-Earthquake Recovery: From the Standpoint of Financial Analysts* (June 2011) and also other articles in its monthly journal, continuously organizes presentations and seminars/conferences covering topics regarding the impact of the earthquake and recovery, and helped affected members and exam candidates by offering exemption from 2011 membership fees and re-distributing textbooks free of charge.



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